

CARL RISKIN

Distinguished Professor of Economics, Queens College and City University of New York
Ph.D. Program in Economics
Senior Research Scholar, East Asian Institute, Columbia University

Offices

Department of Economics
Powdermaker Hall, 306i
Queens College of the City University of New York
Flushing, NY 11367
Tel: (718) 997-5454
Email: cr6@columbia.edu

Weatherhead East Asian Institute
Columbia University
420 West 118th Street, 9th floor
New York, NY 10027

Education

B.A., Economics, Harvard College, 1960
Ph.D. Economics, University of California, Berkeley, 1969
Universities Service Centre, Hong Kong, 1965
Columbia University, 1964-65; Chinese language and area courses.
Stanford University, summer 1964: intensive course in Chinese.
Trinity College, Cambridge University, 1960-61.

Employment

Queens College, CUNY 1974-present
Columbia University 1967-74, 1977-present

Publications

Books

The Macroeconomics of Poverty Reduction: The Case of China (with Nathalie Bouche et al.), Beijing, UNDP-China, 2004.

Inequality and Poverty in China in the Age of Globalization (with A.R. Khan), New York and Oxford, Oxford University Press, 2001. 158 pp. plus endnotes, bibliography, index.

- China's Retreat from Equality* (editor, with Zhao Renwei and Li Shi), Armonk, NY and London, M.E. Sharpe, 2001. 344 pp. plus index.
- Zhongguo Jumin Shouru Fenpei Zai Yanjiu* (New Research On the Distribution of Income in China) (edited, with Zhao Renwei and Li Shi), Beijing, China Finance & Economic Press, 1999 (in Chinese). [Contains original Chinese versions of many of the articles in *China's Retreat from Equality*.]
- The China Human Development Report, 1997*, New York and Oxford, Oxford University Press (for UNDP), 1999. 93 pp. plus statistical appendix and bibliography. On behalf of UNDP Beijing.
- The China Human Development Report, 1999*, Hong Kong, Oxford University Press, 1999. 114 pp. plus bibliography and statistical appendix. [Editor and part author]. On behalf of UNDP Beijing.
- China's Political Economy: The Quest for Development since 1949*, New York and Oxford, Oxford University Press, 1987. 379 pp. plus bibliography & index.

Articles

- "China and the (Human) Developmental State," in Manoj Sanyal, ed., *Development, Inequalities and Economic Reforms: Essays in Honor of Professor Amiya K. Bagchi*, forthcoming Sage (New Delhi), 2008.
- "Growth and Distribution of Household Income in China between 1995 and 2002," (with A. R. Khan), in Bjorn Gustafsson, Li Shi and Terry Sicular, eds., *Inequality and Public Policy in China*, New York Cambridge University Press, 2008
- "Explaining China's Changing Income Inequality: Market vs. Social Benefits," with Qin Gao, in Deborah Davis and Wang Feng, eds., *Creating Wealth and Poverty in Post-Socialist China*, Univ. of California Press forthcoming 2008.
- "Has China Reached the Top of the Kuznets Curve?," in Vivian Shue and Christine Wong, eds., *Paying for Poverty Reduction in China*, Stanford University Press, 2007.
- "Amartya Sen and Development Studies," chapter in D. A. Clark, (ed.) *The Elgar Companion to Development Studies* (Edward Elgar, 2006).
- "The Fall in Chinese Poverty: Issues of Measurement, Incidence and Cause," in James Boyce, Stephen Cullenberg, Prasanta Pattanaik and Robert Pollin, eds. *Human Development in The Era of Globalization: Essays in Honor of Keith Griffin*, Cheltenham, UK and Northampton, MA, Edward Elgar, 2006.
- "Household Income and its Distribution in China, 1995 and 2002" (with A.R. Khan), *China Quarterly* no.182, June 2005.

- “The Retreat from Equality: Highlights of the Findings” (with Zhao Renwei and Li Shi), in Riskin, Zhao and Li, *China’s Retreat from Equality*, pp. 3-22.
- “Income Distribution in Urban China during the Period of Economic Reform and Globalization” (with K. Griffin and A.R. Khan), in Riskin, Zhao and Li, *China’s Retreat from Equality*, pp. 125-132.
- “Chinese Rural Poverty Inside and Outside the Poor Regions” (with Li Shi), in Riskin, Zhao and Li, *China’s Retreat from Equality*, pp. 329-344.
- “Guest Editor's Introduction,” *The Chinese Economy*, 3, 4 July-August 2000, pp. 3-8.
- “Mitigating the Human Impact of the Asian Crisis: The Role of UNDP,” pamphlet prepared for UNDP, New York, with collaboration of Kanni Wignaraja. 35 pp.
- “Income and Inequality in China: Composition, Distribution and Growth of Household Income, 1988 to 1995” (with A.R. Khan), *China Quarterly*, 154, June 1998. Pp. 221-253. Reprinted in R. Garnaut and Y. Huang, eds., *Growth without Miracles*, Oxford and New York, Oxford Univ. Press, 2001, pp. 363-384.
- “Income Distribution in Urban China During the Period of Economic Reform and Globalization” (with A.R. Khan and K. Griffin), *Amer. Economic Review*, May 1999, pp. 296-300.
- “Seven Questions About the Chinese Famine of 1959-61”, special issue of *Chinese Economic Studies*, 9, 2, Fall 1998, pp. 111-124.
- “Social Development and China’s Changing Development Strategy”, in Dharam Ghai, ed., *Social Development and Public Policies*, Macmillan, 1998, pp. 103-138.
- “Behind the Silk Curtain”, *The Nation*, November 10, 1997, pp. 11-17.
- “Social Development, Quality of Life and the Environment”, in U.S. Congress, Joint Economic Committee, *China’s Economic Future: Challenges to U.S. Policy*, ed., John Hardt, Washington, DC, US Government Printing Office, 1996, pp. 361-380.
- “Rural Poverty in Post-Reform China”, in *The Third Revolution in the Chinese Countryside*, ed. Ross Garnaut, London & New York, Cambridge University Press, 1995, pp. 63-78.
- “Democracy, Authoritarianism and Development in China”, in *Democracy and Development*, ed. Amiya Kumar Bagchi, International Economic Association Conference Volume No. 113, London, Macmillan, and New York, St. Martin's Press, 1995, pp. 215-233.
- “Reform and System Change in China”, in *Capital, The State and Labour, A Global Perspective*, ed. Juliet Schor and Jong-il You, Aldershot, UK, UNU Press and Edward Elgar Pub. Co., 1995, pp. 319-344
- “Chinese Rural Poverty: Marginalized or Dispersed?”, *American Economic Review*, Papers & Proceedings, May 1994, pp. 281-284

- “Poverty in China's Countryside: Legacy and Change”, in *Development and Change: Essays in Honour of K.N. Raj*, ed. P. Bardhan and T.N. Krishnan, Bombay and London, Oxford University Press, 1993, pp. 125-145.
- “Income Distribution and Poverty in Rural China”, in *The Distribution of Income in China*, eds. Keith Griffin and Zhao Renwei, New York and London, Macmillan, 1993, pp. 135-170.
- “Household Income and its Distribution in China” (with A.R. Khan, K. Griffin and Zhao Renwei), in *The Distribution of Income in China*, eds. Keith Griffin and Zhao Renwei, New York and London, Macmillan, 1993, pp. 25-73.
- “Household Income and its Distribution in China” (with A.R. Khan, K. Griffin and Zhao Renwei), *China Quarterly*, 132, Dec. 1992, pp. 1029-1061. This is virtually identical to the chapter listed directly above.
- “Sources of Income Inequality in Post-Reform China” (with A.R. Khan, K. Griffin and Zhao Renwei), *China Economic Review*, 4, 1 1993, pp. 19-34.
- “Feeding China: The Experience Since 1949.” In *Hunger: Economics and Policy*, eds. Jean Dreze and Amartya Sen, Oxford & London: Clarendon Press, Oxford, 1991. pp. 15-58.
- “Neither Plan Nor Market: Mao's Political Economy,” in *New Perspectives On The Cultural Revolution*, William Joseph, Christine Wong and David Zweig, eds., Cambridge, Mass: Harvard University Press, 1990, pp. 133-152.
- “Reform: Where is China Going,” in *The Chinese Economy And Its Future*, Peter Nolan, ed, Cambridge and Oxford, Polity Press and Basil Blackwell, 1990, pp. 41-62.
- “Food, Poverty and Development Strategy in the People's Republic of China,” in *Food Shortage, Poverty & Deprivation*, ed., Robert Kates and Lucile Newman, New York, Blackwell, 1990, pp. 331-352.
- “A Comment on Professor Brus' Paper,” in *Development Policies and the Crisis of the 1980s*, ed. Louis Emmerij, Paris: OECD, 1987, pp. 164-167.
- “Introduction,” in D. Solinger, ed., *Three Visions Of Chinese Socialism*, Boulder, CO, Westview Press, 1984, pp. 1-10.
- “Market, Maoism and Economic Reform in China,” In V. Lippit and M. Selden, eds., *The Transition to Socialism in China*, Armonk, NY, M.E. Sharpe, Inc. and London, Croom Helm, 1982, pp. 300-321.
- “Intermediate Technologies in China's Rural Industries,” *World Development*, 6, 11/12, November-December 1978, pp. 1297-1311. Revised and reprinted in E.A.G. Robinson, ed., *Appropriate Technologies For Developing Countries*, London, Macmillan, 1979, pp. 52-74.
- “Political Conflict and Rural Industrialization in China,” *World Development*, Winter 1977-78, pp. 681-692.

- “The Symposium Papers: Discussion and Comments,” *Modern China*, 4, 3, July 1978, pp. 359-376.
- “East Asia and the World Economy, A Review Essay,” *Bulletin of Concerned Asian Scholars*, 10, 3, July-September 1978, pp. 57-61.
- “China's Rural Industries: Self Reliant Systems or Independent Kingdoms,” *The China Quarterly*, March 1978, pp. 77-98.
- “Worker Incentives in Chinese Industry,” Occasional Paper OPS #4, Centre for East Asian Studies, McGill University, 1978, pp. 1-14.
- “Judging Economic Development: The Case of China,” *Economic and Political Weekly*, XII, 41, October 8, 1977 (Bombay), pp. 1741-1754.
- “China's Economy: At the Crossroads Yet Again?” *Contemporary China*, I, 3, January 1977, pp. 1-11.
- “Surplus and Stagnation in Modern China,” In Dwight Perkins, ed., *China's Modern Economy In Historical Perspective*. Palo Alto, Stanford University Press, 1975, pp. 49-84 plus endnotes.
- “Workers' Incentives in Chinese Industry,” U.S. Congress, Joint Economic Committee, *China: A Reassessment of the Economy*. Washington, D. C., U.S. Government Printing Office, 1975, pp. 199-224.
- “Maoism and Motivation: Work Incentives in China,” In Victor Nee and James Peck, ed., *China's Uninterrupted Revolution*. New York, Pantheon Books, 1975, pp. 415-461.
- “Small Industry and the Chinese Model of Development,” *The China Quarterly* 46, April-June, 1971 pp. 245-273.
- “Local Industry and Choice of Techniques in Planning of Industrial Development in Mainland China,” United Nations Industrial Development Organization, *Planning for Advanced Skills and Technologies*, Vienna, 1969, pp. 171-180.
- “The Chinese Economy in 1967,” in Oksenberg, Riskin, Scalapino and Vogel, *The Cultural Revolution: 1967 in Review*, University of Michigan, Center for Chinese Studies, pp. 45-71.

Completed Articles whose publication is forthcoming

- “The Changing Nature of Urban Poverty in China,” (with Qin Gao) in Joseph Stiglitz, Sudhir Anand, Paul Segal, eds., *Measuring Global Poverty*, and Initiatives for Policy Dialog publication, forthcoming.

“Gradualism,” in *Encyclopedia of Modern China*, ed. David Pong, Charles Scribner’s Sons, forthcoming 2009.

“The Great Leap Forward,” in *Encyclopedia of Modern China*, ed. David Pong, Charles Scribner’s Sons, forthcoming 2009.

“Dibao: Regional Variations in Effectiveness of China’s Urban Anti-Poverty Program,” (with Qin Gao), in Jos. Stiglitz, ed., *Institutional Design for China’s Evolving Market Economy*, an Initiatives for Policy Dialog volume, forthcoming 2010.

Selected Reviews

Boundaries and Categories: Rising Inequality in Post-Socialist China, by Wang Feng. *China Quarterly*, 195, September 2008, 695-696.

“Unyielding Land of the Dragon”, review of Lester R. Brown, *Who Will Feed China: Wake-up Call for a Small Planet*, in *Times (of London) Higher Education Supplement (THES)*, March 1, 1996.

Review article for *THES* of J. Becker, *Hungry Ghosts* (about the Chinese famine of 1959-61) and Zheng Yi, *Scarlet Memorial* (about violence and cannibalism during the Cultural Revolution), November 1996.

Political Economy of Chinese Development, by Mark Selden. *Journal of Asian Studies*, 3, 2, May 1994.

Marxism, Maoism & Utopianism, by Maurice Meisner. *Journal of Asian and African Studies*, 20, 3-4, 1985, 250-52.

China's Economy in Global Perspective, by A. Doak Barnett. *Political Science Quarterly*, 97, 4, Winter 1982-83, pp. 697-98.

Economic Growth and Distribution in China, by Nicholas R. Lardy; and *Chinese Economic Planning*, ed., Nicholas R. Lardy. *Journal of Development Economics*, 8, 1981, pp. 141-45.

Economic Growth and Employment in China, by Thomas Rawski. *Political Science Quarterly*, 95, 4, Winter 1980-81, pp. 718-19.

Rural Development, Learning from China, by Sartaj Aziz. *International Development Review*, XXI, 2, 1979, pp. 62-64.

Wage Patterns and Wage Policy in Modern China, 1919-1972, by Christopher Howe. *Journal of Asian Studies*, November 1974, pp. 225-228.

Industrial Growth in Pre-Communist China, by John Chang. *Economic Development and Cultural Change*, October 1971.

The Chinese Economy Under Communism, by Nai-ruenn Chen and Walter Galenson. *The China Quarterly*, No. 44, October-December, pp. 203-209.

"China's Economic Growth: Leap or Creep?" Review article on Rand Corporation study of China's national income. *Bulletin of Concerned Asian Scholars*, January 1970, pp. 19-24.

China's Economic System, by Audrey Donnithorne. *Journal of Asian Studies*, November 1969, pp. 148-150.

Rural Communes in China, by Gargi Dutt. *Journal of Asian Studies*, May 1969, pp. 608-09.

Selected Recent Conference, Seminar and Other Scholarly Activity

Presented paper on social costs of development in China, at the International Conference on Prosperity and Inequality, India China Institute, The New School, New York, March 2008.

Presented paper on social benefits in China from a property rights perspective, at the Initiative for Policy Dialogue China Task Force meeting, Manchester, England, July 2007.

Presented paper on social benefits and inequality in China, at the annual meeting of the International Development Economics Association (IDEAs), Tsinghua University, Beijing, June 2007.

Presented paper on causes of reduced inequality in China, Association for Asian Studies annual meetings, Boston, March 2007.

Presented paper on income distribution in China at seminar on income distribution, at Catholic University of Belo Horizonte, Brazil, October 2006.

Presented paper on property rights and institutional development in China, at 2006 conference of Initiative for Policy Dialogue China Task Force, Manchester University, UK, August 2006.

Wrote (as principal author) evaluation of UNDP's National Human Development Reports program for UNDP 2006-07.

Presented paper on the respective roles of market and social benefits in shaping China's income distribution, at workshop on Creating Wealth and Poverty in Contemporary China at Yale University, January 2006.

December 2005. Presented paper, "Nature vs. Nurture in Explaining China's Changing Income Distribution," at conference on Creating Wealth and Poverty in Contemporary China, Yale University.

June 2005. Presented paper on China's changing income distribution at IPEA and the UN International Center for Poverty Reduction, Brazilia.

May 2004. Presented paper, "Has China Reached the Top of the Kuznets Curve" at Conference on Paying for Poverty Reduction in China, Oxford University (to be published in conference volume).

April 2004. Presented paper, "What Difference Does China Make to Global Poverty Trends," at conference on Egalitarian Development in the Era of Globalization, A Conference in Honor of Professor Keith B. Griffin, Gordon Hall, University of Massachusetts Amherst.

March 2003. Presented paper on "The Impact of China on the Measurement of World Poverty," at Conference on Measuring World Poverty, organized by Joseph Stiglitz and Carnegie Council on Ethics and International Affairs, New York.

January 2003. Kathmandu, Nepal. Participated in regional workshop on the Macroeconomics of Poverty Reduction, presenting the case study for China, of which I am co-author.

October 2002. Inaugurated third round of Chinese national household income survey and analysis with workshop in Beijing. This is an ongoing collaborative Sino-US-British-Swedish research project whose previous rounds have produced three path-breaking books and many published articles.

August 2002. Presented paper on "An Integrated Approach to Poverty Reduction in China" to the International Conference on Equity and Social Justice in Transition China, Beijing University, sponsored by the Ford Foundation and the Center for Economic Research of Beijing University.

January 2002. Discussant, paper by James Galbraith, American Economics Association annual meeting, Atlanta.

2001. Chengdu, Sichuan. Presented paper on poverty and inequality in China at Conference on China's Great Western Development Program, funded by Asian Development Bank.

2001. Delivered paper on increasing inequality in China to Economics Department, University of California at Riverside, California, May.

2001. Presented paper on poverty and inequality in China at University of Washington, Seattle.

1999. Introduced the 1999 China Human Development Report at its UNDP-sponsored official launch in Beijing, November.

1998. Delivered two papers at International Workshop on Social Development during the Economic Transition in China, sponsored by UNDP and China's State Planning Commission, February 6-8.

1998. Delivered paper, "Seven Questions about the Chinese Famine of 1959-61," at the annual meeting of the American Economic Association, Chicago, January.

1998. Delivered paper, Distribution of Household Income in China During the Transition: Continuity or Change?, at the annual meeting of the Association for Comparative Economic Studies, Chicago, January.
1997. Hanoi, Vietnam. Participated in UNDP Asian regional workshop on preparation of national Human Development Reports.
1997. Co-organized and delivered paper at Workshop on Income Distribution and Inequality (supported by Asian Development Bank and The Ford Foundation), Beijing, August.
1996. Delivered paper on Problems of Social Development in China at Conference on Comparative International Experiences in Social Development, U.N. Research Institute on Social Development (UNRISD) and Canadian International Development Agency (CIDA), Ottawa, May.
1995. Presentation at Conference on Integrating China's Agenda 21 Programme on Environment and Development into the Ninth Five-Year Plan, sponsored by The World Bank and UNDP, Beijing, September.
1995. Delivered paper, "Six Questions on the Chinese Famine of 1959-61", International Conference on Hunger in Honor of 150th Anniversary of the Irish Famine, Ireland House, New York University, May.
1995. Presentation at Symposium on Hunger, Ethics and Policy, International Conference on Hunger, Ireland House, New York University, May.
1995. Delivered paper, "Regional and Non-regional Poverty in China: A Comparison", at annual meetings of Association for Asian Studies (AAS), Washington, D.C., April.
1995. Presentation at Conference in honor of retiring China faculty, University of Michigan, Ann Arbor, March.
1995. Presentation, "Is China's Miracle Growth Sustainable?", Seminar for Asian Journalists, Columbia University, March.
1995. Presentation to Symposium on Economic Restructuring & Social Policy, U.N. Research Institute on Social Development and UNDP, United Nations, New York, January 13.
1995. Presentation at Roundtable, January 15, on Economic Restructuring & Social Policy, United Nations, New York.
1995. Inaugurated technical assistance project for Asian Development Bank to analyze income distribution in China in 1995.
1994. Presentation on Social Development to two special seminars organized by China's State Planning Commission, October 1994.
1994. Presentation on Income Distribution and Poverty in China to Columbia University Seminar on the Political Economy of War and Peace, February.

1994. Delivered paper, "Chinese Rural Poverty: Marginalized or Dispersed?", American Economics Association annual meeting, Boston, January.

Current Work in Progress

Social Benefits and Income Distribution from a Property Rights Perspective.

A volume of selected papers.