Handbook of

THE PH.D. PROGRAM IN SPEECH-LANGUAGE-HEARING-SCIENCES

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Purpose of This Handbook

This Handbook is intended to provide students with information about the policies and procedures governing the Ph.D. Program in Speech-Language-Hearing Sciences. It includes information that supplements the Bulletin of the Graduate Center, which contains the general requirements applicable to all students. Students are therefore advised to carefully read the Graduate Center Bulletin as well as referring to this Handbook to obtain a comprehensive understanding of the guidelines that will facilitate progress through the Ph.D. program. In addition, students are advised to consult the Graduate Center's Student Handbook. Both the Student Handbook and the Graduate Center Bulletin may be obtained in the Registrar’s Office and are available online at http://www.gc.cuny.edu/current_students/handbook/index.htm and at http://www.gc.cuny.edu/current_students/bulletin/index.htm. As policies and procedures are modified the revised information is communicated through the program’s Newsletter and included as addenda to the Handbook and updated on the Graduate Center website. Although every attempt has been made to cover program policies and procedures as completely as possible, the application of policies to individual cases may vary with special circumstances. Students should seek additional information and/or clarification as needed from the Executive Officer of the program.

General Aspects of the Program

The Ph.D. Program in Speech-Language-Hearing Sciences is part of a unique consortium of faculty and resources from the senior colleges and the Graduate Center of the City University of New York. Since its inception in 1965, it has achieved a reputation for excellence in doctoral education and has graduated many individuals who have assumed positions of leadership in a variety of settings and who have contributed significantly to the advancement of knowledge in the processes and disorders of human communication.

The doctoral program is designed to prepare scholars and researchers with a capacity to develop broad theoretical and conceptual frameworks that will enable them to strengthen their understanding of the major issues embodied in the speech, language and hearing sciences. Although programs of study are individualized to meet each student’s academic and career objectives, it is the philosophy of the faculty that the discipline is a unified one which encompasses all areas of speech, language and hearing. Thus, academic programming requires a basic understanding across fields of specialization that will enable students to apply their knowledge and skills to sub-specializations and to related disciplines. It is hoped that students will recognize that the various academic and research opportunities provided by the program are relevant to their total preparation in the field and that they will participate in many diverse learning experiences regardless of their particular interests or career goals.

The mission of the Ph.D. program is to provide leadership personnel in academic,
research and clinical settings concerned with the scientific study of communication sciences and disorders. However, it is not the goal of the program to produce finished teachers or researchers, but individuals with the knowledge, skills and attitudes to continue learning and growing throughout their professional lives. Students need to recognize that the process of doctoral education is but one phase in their total educational and professional training.

ADMISSION TO THE PH.D. PROGRAM

Individuals interested in applying to the doctoral program are urged to meet with the Executive Officer and, if possible, with appropriate faculty members prior to application. Although a formal interview is not a requirement for admission, such an interview allows applicants to become familiar with the mission and objectives of the program in order to determine whether it will meet their academic and professional career goals and whether they will be able to fulfill the requirements of the program of study.

Applications and information about admission are available on the website (www.gc.cuny.edu). The application deadline for fall admission is February 1st. There is no spring admission. All documentation for admission is to be sent to the Office of Admissions for arrival prior to this date. No application will be considered until the application is complete. The applicant is advised to monitor the receipt of materials with the Office of Admissions to ensure that all references, transcripts and test scores needed to complete the application, have been received.

The evaluation of applications for admission is the responsibility of the program’s Admissions and Awards Committee. The Committee reviews each application and renders a judgment of the applicant’s overall potential for success in doctoral study. This judgment is based on the following considerations:

1. A careful review of the applicant’s past academic performance, which involves not only consideration of grade-point-average, but also of the pattern of grades in various subject areas.

2. The nature of previous undergraduate and/or graduate work and the school at which it was taken.


4. Letters of recommendation, most appropriately from professors familiar with the student’s work who can testify to the applicant’s potential to complete the program.
(5) A personal statement written by the applicant reviewing reasons for applying to the program, career objectives and relevant background information. **Applicants should include their intended academic emphasis in order to identify potential faculty mentors.**

(6) In most cases, candidates should meet with potential faculty mentors to aid in the committee’s decision.

FINANCIAL AID

**NOTE:** See the Graduate Center Bulletin and Handbook on the GSUC Website

ENROLLMENT

**Registration**

*All students are required to be in status each semester.* This means that students must be registered or be on an approved leave of absence. Individuals who are not in status will be considered withdrawn from the Graduate Center. Registration materials are mailed to students prior to the start of each semester. It is expected that all registration will be completed by the deadlines announced each semester.

Students must meet satisfactory progress requirements and not have any outstanding obligations to the Offices of Financial Aid, the Library, Admissions or Residence Life to be ensured the right to register, receive a leave of absence or official withdrawal, as well as the right to be issued grades, transcripts or a degree.

**Tuition Level**

**NOTE:** See the Graduate Center Bulletin and Handbook on the GSUC Website

**Auditing Courses**

Matriculated students may audit courses in which they have an interest, but must formally register for audit courses in the same manner as any other course with the approval of the Executive Officer. Auditor status cannot be changed to credit status after the change of program period has ended. Likewise, credit status cannot be changed to auditor status after the same period. The grade of “AUD,” which carries no credit, cannot be changed to any other grade. **Information about tuition for audited courses is found in the Bulletin.**
Nonmatriculant Enrollment

Enrollment in courses by students who are not matriculated in the program is at the discretion of the Executive Officer. In no instance will anyone be permitted to accumulate a total of more than two courses or eight credits (whichever is less) as a nonmatriculant without written approval from the Office of the Vice President for Student Affairs.

Program Changes

During the first three weeks of each semester, students have the option of adding or dropping courses. All such requests must be approved by the Executive Officer. After the first three weeks of the term, if a student elects to withdraw from a course, a “Course Withdrawal” form should be submitted to the Registrar. A grade of “W” will be assigned, and the student remains liable for tuition.

Withdrawal from the Program

Written notice of voluntary withdrawal from the program must be approved by the Executive Officer. In the event a student is considering such action, he/she must meet with the Executive Officer to discuss this decision. Notification of official withdrawal must be submitted to the Registrar prior to the end of the third week of classes of a given semester to avoid full tuition liability for that semester. To resume doctoral study, a former student must apply to the program for readmission. Students who have not registered or been granted a leave of absence by the end of the first week of a given semester will automatically be withdrawn from The Graduate Center.

Readmission

Readmission following a withdrawal is at the discretion of the student’s program. In the event a student wishes to be considered for readmission to the program he/she must meet with the Executive Officer to discuss the situation and the relevant circumstances. The Admissions Committee must approve a student’s readmission to the program and a special Application for Readmission must be filed in the Office of the Registrar. Academic work (including courses, examinations, and dissertation proposals) completed before the student withdrew from the Graduate Center will be reevaluated upon readmission and may be credited toward completion of the degree at the program’s discretion.

Leave of Absence

A leave of absence will be granted to a student wishing to interrupt doctoral study following a meeting with the Executive Officer whose approval must be obtained. No more than four semesters of total leave time will be granted to any student. Each leave request should be made in writing prior to the semester during which the leave will be
Requests for an extension of a leave of absence, for no more than one additional year, must follow the same procedure. Any international student with F-1 (student) or J-1 (exchange visitor) status should consult the Office of International Students before applying for a leave to ensure that the visa will still be valid. Official leave of absence time is not counted toward the time limit for completion of degree requirements. During the period of a leave of absence, no changes in academic status, including such matters as the scheduling and taking of qualifying examinations and advancement to candidacy, may be effected.

**Full-Time Residence**

Doctoral students are required to spend at least one year in full-time residence. Full-time residence consists of a schedule of no fewer than 7 credits, or the equivalent, for each of two consecutive semesters. During this academic year students are expected to participate in a research practicum under the mentorship of faculty to develop their competency in research. *This residency must be completed during the first three years of matriculation.*

**Advancement to Candidacy**

Before a student can be certified as a candidate for the doctoral degree, he or she must have completed all required coursework, the predissertation research project, the second examination and be registered for the current semester. After advancement to candidacy, the student is expected to maintain matriculation until the completion of all remaining requirements for the degree (unless approved leaves of absence are taken). These include (a) enrollment in Dissertation Seminar, (b) completion of the dissertation proposal, and (c) completion and defense of the dissertation.

**Time Limit for Degree**

All requirements for the degree must be completed no later than eight years after matriculation. A student who matriculates with 30 transfer credits must complete all requirements within seven years. Periods of official leaves of absence are excluded from the time limits set for completion of degrees. Extension of a time limit must be initiated by the Executive Officer and requires approval of the Vice President for Student Affairs.

**Satisfactory Academic Progress**

See the Graduate Center Bulletin and Handbook on the GSUC Website

**Master of Philosophy**

See the Graduate Center Bulletin and Handbook on the GSUC Website
Awarding of Degrees

Degrees are awarded three times per academic year. In order for the degree to be awarded (and for the dissertation to be deposited), the candidate must meet the following enrollment requirements: for the degree to be awarded in February, candidates must be enrolled the preceding fall semester; for May/June, the concurrent spring semester; for October, the preceding spring semester.

Grading System

Doctoral matriculants will be graded in all courses creditable towards the doctorate as follows: (Credits with grades of “P” or “SP” do not figure into the computation of the grade point average but do count toward the degree).

- A (+ or -) Excellent
- B (+ or -) Good
- C (+ or -) Fair (lowest passing grade)
- SP - Satisfactory progress (for research courses requiring more than one semester for completion)
- NRP- No record of progress (for research courses requiring more than one semester for completion)
- W - Withdrawn without academic penalty. This is a student-initiated grade, which may be requested from the fourth through the tenth week of the semester. Under no circumstances can a student withdraw and receive a “W” grade after the tenth week of the semester without the written permission of the course instructor and the Executive Officer and the approval of the Vice President for Student Affairs. This grade carries tuition liability.
- WA - Administrative withdrawal. This grade, which does not affect the grade point average, is administratively assigned.
- F - Failure
- P - Pass. Each program is authorized to use the grade of “P” for such courses and under such conditions as the Executive Committee of the program deems appropriate.
- Abs - Absent from final examination. This is a temporary grade indicating that the student missed the final examination, which is the only work in the course not completed.
- INC - Incomplete

To resolve incomplete grades students must fulfill their obligations within one calendar year after the “INC” grade is assigned. Extensions will be granted only in exceptional circumstances upon written application and with the permission of the instructor of the course, the Executive Officer and the Vice President for Student Affairs. Students with more than two incomplete courses will be brought to the attention of the Executive Officer to determine whether or not they are making satisfactory progress. Students will not normally be regarded as making satisfactory progress if they have more than two “INC”s on their records. Students should be aware that continued registration and financial aid awards are conditional upon satisfactory progress.
**Denial of Student Services**

There is a formal policy that prohibits all college services to any student who is delinquent in any financial account with the University (including books owed to the library), who is in default for any loan administered through the University, or who has failed to attend the required exit interview for federal or state student loan programs administered through the University. Denial of services means that students are not permitted to register and are not issued a copy of their academic transcript, degree, or certificate, nor are they eligible to receive additional student aid until the default/delinquency has been satisfied.

**Reporting Personal Changes**

Students receiving financial aid providing for a dependency allowance must report any change in number of dependents to the Office of Financial Aid. Any change of name or address must be reported, in writing, immediately to the Registrar’s Office.

**New York State Residency**

For the purposes of determining tuition charges, a student is considered a resident of the state of New York if he or she has his or her principal place of abode in the state of New York for a period of at least twelve consecutive months immediately preceding the first day of classes for the semester with respect to which the residency determination is made, states his or her intention to permanently live and maintain his or her principal place of abode in New York State, and is not in the United States on any temporary visa. All students requesting a change of residency status must file a City University Residence Evaluation Application with the Registrar’s Office, along with sufficient supporting documentation, no later than the end of the third week of classes of the semester for which the change is to be effective.

**Remission of Tuition and Fees**

**See The Graduate Center Bulletin and Handbook on the GSUC Website**

**Research, Travel and Dissertation Support Funds**

Funds are available for limited support of specific activities of matriculated students who are registering during the semester for which the stipend is requested. Applicants for these stipends must have on file the CUNY Student Aid Form and submit an “Application for Student Travel and Research Fund Award.” This application form also is used for the Ph.D. Alumni Association Awards.

These funds provide stipends (limited to one per academic year per student) in the amount of ($300) or less for the following: (1) Registration and/or travel expenses for participation in professional conferences and seminars where the applicant is invited to
make a presentation; (2) Research-related expenses such as out-of-town travel and duplicating costs; (3) Costs for research supplies; (4) Costs for experimental participants; and (5) Dissertation-related expenses including materials, research supplies, typing and duplicating. Because the total amount available for this purpose each year is limited, applications are considered on the basis of financial need, including extraordinary circumstances that the student can document, and are processed on a “first come” basis. Stipends are paid upon presentation of receipts for expenditures after they have been incurred. Receipts must be submitted within two weeks of the end of the period for which they were requested.

A Research Grant Program, awarding up to $1,500 to an applicant, has recently been instituted. These competitive awards are granted annually.

Office of International Students

The Office of International Students provides advice and assistance to students from outside the United States, particularly with regard to immigration issues relating to F-1 student and J-1 exchange visitor status (student category). Each semester, the office conducts a special orientation session for international students. New international students should contact the office as soon as possible after their arrival so that the office can review their immigration documents and status. Because it is the student’s responsibility to comply with all existing government regulations, students are urged to familiarize themselves with the regulations and procedures that apply to their specific visa status. Students should keep copies of all documents relating to their immigration status and bring their passport, 1-94, and the latest copy of Form 1-20 or IAP-66 when consulting the Office of International Students. International students should consult the Student Handbook for further information.

GOVERNANCE OF THE PH.D. PROGRAM

The program is administered by the Executive Officer and through various committees. The committee structure that governs the program and the responsibilities of each of these committees are summarized below. Only full-time doctoral faculty are eligible to serve on program committees. They are elected for a period of three years to coincide with the term of office of the Executive Officer. Each committee has student representatives who are elected by the student body for a term of one year. All students in good standing are eligible to vote for student members of the Committees. Students shall be full participants and voting members of all committees with the following exceptions: (1) Student members shall participate, but not vote, on matters affecting individual faculty members. (2) Students may not participate or vote on matters affecting individual students.
The **Executive Committee**

The Executive Committee has overall responsibility for the operation of the program. It develops and sets policies that govern the general activities of the program, and with the Faculty Membership Committee, conducts continuing review of the doctoral faculty. The Executive Committee works with the relevant college departments in recruiting new faculty.

**Faculty Membership Committee**

This committee nominates members of the program’s faculty with a view toward including faculty newly appointed at the colleges; maintains a roster of potential doctoral faculty appointments; and reviews all other actions taken in regard to membership on the doctoral faculty.

**Curriculum and Examination Committee**

This committee reviews the curriculum, recommends curricular changes, and recommends procedures and standards for the conduct of examinations. This committee recommends admission and awards procedures and standards for the program, and administers the procedures.

**Admissions and Awards Committee**

This committee reviews and makes decisions about all applicants for admission to the program. Decisions about awards are also made by this committee.

**Election Committee**

The Executive Committee appoints an Election Committee. The Committee is composed of the Executive Officer, three faculty members and three student members. The Faculty and Student Election Committees determine nominations for election of faculty or students to Graduate Council, the Executive Committee and standing committees. The Executive Officer is an ex-officio member of both Committees.

**Student Advisory Committee**

The Student Advisory Committee is composed of student representatives from each of the major committees of the program: Executive, Curriculum and Examination, Faculty Membership; and from Graduate Council and Doctoral Students Council. This five-member student committee may address issues affecting any aspect of student and program concern and communicate them directly to the Executive Officer and/or any of the foregoing committees. The committee will meet with the Executive Officer each semester if necessary.


**Social Committee**

The Social Committee is composed of first-year students who are matriculated on a full-time basis and serve for the initial academic year. The committee is responsible for all social activities that occur during the year.

**Graduate Council**

The Graduate Council is the academic governing body of the Graduate Center. Members of the Council include voting representatives of the faculty, students and administration. The Council is concerned with such matters as curriculum, degree requirements, standards of admission, academic performance and program governance. Much of the work of the Council is done through its standing committees. Each program elects faculty and student representatives to serve on the Council.

**Doctoral Students’ Council**

The Doctor Students' Council is the sole policymaking body representing students at the Graduate Center. Each program has representatives to the council who are elected on a proportional basis according to the number of students enrolled in the particular program. The DSC election for representatives is usually held in April. Students are advised to consult the Student Handbook for additional information regarding the functions of the DSC.

**SUMMARY OF DEGREE REQUIREMENTS**

(1) The Ph.D. degree requires completion of at least 60 credits of approved graduate coursework (post-baccalaureate).

(2) Students may transfer a maximum of 30 hours of credit from other universities. Acceptance or validation of transfer credit toward the Ph.D. is the responsibility of the Executive Officer.

(3) Students must complete a required set of 700-level courses: statistics (6 credits), advanced research design (3 credits), instrumentation (3 credits), two (6 credits) out of the 3 basic science courses (speech science, language science, hearing science) professional issues (2 credits), and the responsible conduct of research (research ethics) (1credit).

(4) Students must complete at least five 800-level courses in the discipline exclusive of Independent Study. One of the five 800-level courses may be taken outside of the department. Courses in related disciplines may be taken in addition to, not in lieu of, these five courses.
(5) Students must maintain a GPA at or above 3.0. If a student’s GPA is below 3.0 for two semesters, then he/she will be dropped from the Program.

(6) Students must pass the First Examination. The first draft of the exam must be submitted to the student’s advisor by January 31st of the student’s second year. Then the 1st exam process has to be finished by the end of that semester. Students may not continue in the program after completing 45 graduate credits if they have not passed the First Examination.

(7) Students must complete a full-time year (two consecutive semesters) in residence within the first three years of matriculation in the program. During the residence year, students must spend at least 15 hours/week in their advisor’s lab.

(8) Students must complete a predissertation research project under the supervision of a faculty member prior to candidacy (level III).

(9) Students must pass the Second Examination prior to candidacy (level III).

(10) Candidates must enroll in Dissertation Seminar every semester until their dissertation proposal has been approved by their dissertation committee.

(11) Candidates must submit a dissertation that has been supervised and approved by a dissertation committee. It is defended before the dissertation committee and an outside examiner. Students must pass the Final Exam which is the Dissertation Defense and have their dissertation approved by their committee before they can submit it.

STUDENT ADVISEMENT

The advisement of students is a continuous process throughout the several phases of doctoral study and normally adheres to the following general guidelines. Students are encouraged to consult with the Executive Officer for further explanation and clarification about any aspect of advisement as the need arises.

Entering Students

The Executive Officer serves as the major advisor to all entering students, and continues to serve in that role until the student has joined a lab and selected a primary advisor. Students will meet with the Executive Officer at least once each semester for registration and advisement. The Executive Officer will inform all entering students about participation in the research laboratories. The Executive Officer will continue to play an
important advisory role for all upper-level students as well.

**The First Examination**

Students select an advisor who will guide them through the first examination process. Students are expected to participate in the lab of their advisor. The lab will be devoted to helping students develop research and professional skills. Each central faculty member directs a lab in the program. Labs meet regularly, thus providing intensive support.

In addition, the program offers a 3-credit course, “Introduction to Doctoral Research” to assist students. This course was designed to provide students with a sound overview of research to prepare them to begin their First Examination proposals. (See more details under “Major Examinations”)

**Pre-dissertation Research Project**

Following the passing of the First Examination, the student will start working on the predissertation project under the supervision of the primary advisor. This project may serve as a pilot study to the student’s dissertation project.

**The Second Examination**

The student and major advisor select two additional committee members whose interests and expertise are relevant to the scholarly and research interests of the student and appropriate to cover the areas for the Second Examination. At least one member of the committee must be a central (GC) faculty member. This three-member advisory committee is responsible for the preparation and administration of the student’s Second Examination. The student is responsible for the completion of the form “Formation of Advisory Committee,” which bears the signatures of the members of the committee and is submitted to the Executive Officer for approval. Following the completion of the Second Examination the committee is officially disbanded (see more details about the exam under “Major examinations”).

**Dissertation Committee**

The final phase of advisement requires the student to select a dissertation committee, which may consist of faculty members who served on the student’s Second Examination committee. The student is responsible for the completion of the form “Formation of Dissertation Committee,” which bears the signatures of the members of the committee and is submitted to the Executive Officer for approval. The dissertation committee is composed of the student’s research advisor and two other members who assume the responsibility to supervise and approve the dissertation proposal and dissertation research, assess the suitability of the completed dissertation document for oral defense, select the outside examiner and administer the Final Examination (dissertation defense). Only doctoral faculty who had previously been on a doctoral committee can serve as a the chair of a dissertation committee. Each committee must have at least one central (GC)
There are occasions that require exceptions to the foregoing guidelines with respect to a student’s committee:

1. When additional expertise is needed to cover the areas for the Second Examination and/or the supervision of dissertation research, additional members may be added to the student’s committee. It behooves the student and the committee to make these determinations as early as possible.

2. When it is appropriate for non-doctoral faculty to be appointed to a student’s committee, it is crucial that the prospective individual be apprised of the responsibility and commitment that are required for service as a member of the committee. A written statement in that regard will be forwarded to the prospective individual by the Executive Officer following the agreement to serve. In all cases, be they CUNY-affiliated or not, prospective dissertation committee members must be appointed as adjunct doctoral faculty for the duration of their service. The student should submit the vita of the individual to the Executive Officer requesting such appointment. Please note that there is no honorarium for services rendered in these circumstances.

REVIEWS OF ACADEMIC PROGRESS

Each student’s progress towards completion of degree requirements and academic performance is continuously monitored and evaluated by the faculty, both formally and informally. The review considers student performance in (1) coursework, (2) major examinations, (3) research assistance and (4) research projects. The Office of the Registrar requires the program to submit reports on students where there appears to be a question regarding progress. Additional discussions about satisfactory progress take place, as needed, at meetings of the Executive Committee.

Students employed as research assistants, Graduate Teaching Fellows, classroom instructors or clinical supervisors must show satisfactory performance in these activities. If performance is found to be unsatisfactory, such employment may be terminated. This type of termination is independent of satisfactory academic progress.

REQUIRED COURSEWORK

Required Courses (700-level)

SPCH 76400 Instrumentation for Research in Speech and Hearing Science (3 credits)
SPCH 71900 Advanced Research Design and Practicum. (3 credits)
SPCH 79500 Professional Issues Seminar (2 credits)
SPCH 79300 The Responsible Conduct of Research (Research Ethics) (1 credit)
Two of the following three courses:
SPCH 70500 Speech Science
SPCH 70600 Hearing Science
SPCH 70700 Language Science
and two classes in statistics (3 credits each):
EPSY 70500 and 70600 Statistics and Computer Programming I and II
or
PSYC 70500 and 70600 Statistical Methods in Psychology I and II

**Required Courses (800-level)**

Each student must complete at least five 800-level courses. Four out of the five have to be taken in the Ph.D. Program in Speech-Language-Hearing Sciences. Additional 800-level courses may be taken outside the discipline with the approval of the Executive Officer. **The latter and Independent Study (U898) may be taken in addition to, not in lieu of the foregoing requirement.** Some courses are designated as “Studies” or “Seminar” courses. “Studies” courses provide critical reviews of relevant literature to delineate the boundaries of knowledge in selected areas. “Seminar” courses provide students with a forum in which individual areas of interest may be studied in depth. Normally, “Studies” courses precede “Seminar” courses in particular areas of interest.

**Independent Study**

Independent Study (U898) may be taken for the purpose of carrying out a library research paper or empirical study under the supervision of a faculty member. The project should be designed so that it can be completed in one semester and must result in a written document submitted to the instructor. The amount of work will depend on the number of credits (1, 2, or 3) the student signs up for. It is assumed that the student and faculty member will meet on a regular basis and as often as necessary to bring about the successful completion of the project. **In the event the project has not been completed, the student may repeat the course without credit.** For a student to enroll in Independent Study, permission from the instructor and the Executive Officer must be obtained.

**Dissertation Seminar**

Students preparing dissertation proposals must enroll in U899, Dissertation Seminar, each semester until the proposal has been completed and approved by the dissertation committee. Students are expected to complete their proposal within 1 year. The seminar meets monthly and is attended by all students preparing proposals, the members of the program’s central (GC) faculty and the student’s research mentor. The meeting is chaired by the Executive Officer. The format of the class provides each student with an opportunity to report progress on the proposal, to identify problems encountered during the process, and to receive input from faculty and students. Students are expected to provide written progress reports for each meeting. At each seminar one or two students will be asked to provide a presentation (15 minutes + 20 minutes for questions). Students are expected to do at least two presentations during their enrollment in dissertation seminar. After their 2nd presentation, students have 3 months to submit their proposals.
Otherwise, a new, 3rd presentation will be expected.

**PREPARATION IN RESEARCH**

The creation of new knowledge is the responsibility of those holding the Ph.D. Academic job settings require research productivity, publishing and grant writing for retention and advancement in rank. Students in the Ph.D. program should begin to build a foundation for independent research careers. To prepare for these responsibilities, every student is required to fulfill the following research activities.

**Pre-dissertation Research Project**

Each student must complete a predissertation research project. This project should be of limited scope and should be selected and developed in collaboration with a faculty advisor. The project may be initiated at any time following matriculation, although it is normally begun after the First Examination has been passed. The project must be data-based and adhere to the guidelines that generally define empirical research. Students are encouraged to submit the manuscript for publication and/or presentation at a scientific meeting. To complete the project successfully, the student must:

1. Prepare a written paper on the research project.
2. Submit the report to the faculty advisor for approval.
3. Present the report orally before students and faculty.
4. Submit the approved paper to the Executive Officer.

**Research Practicum (Labs)**

It is expected that every student complete at least one year of supervised research under the mentorship of a faculty member. Students may complete this requirement during their full-time residency year (during the first three years of matriculation) in which the student is required to spend at least 15 hours per week in supervised research activity. Ideally, research practicum should culminate in one or more of the following:

1. Submission of an article for publication.
2. Submission for presentation at a state or national convention.
3. Pre-dissertation research oral presentation.

**Dissertation**

The final stage of research training is the completion of a dissertation, which should be of sufficient quality to warrant publication. The dissertation is supervised by a faculty research mentor and a committee. The nature, scope and originality of the dissertation research are approved by the dissertation committee. Each student is required to prepare a dissertation proposal, which must be approved and signed by all members of the dissertation committee and submitted to the Executive Officer. Details governing the
content and format of the dissertation proposal are described in “Dissertation Proposal Procedures,” which may be obtained in the program office. Students also are encouraged to employ previously approved proposals as models.

Before beginning research, the student is responsible for obtaining IRB approval. This should be done during the proposal stage and prior to piloting experimental protocols. Protection of subject confidentiality and obtaining client permission are prerequisites for involving both normal and clinical populations in research studies. At least three weeks prior to the defense, the student must present the dissertation to the committee and the Executive Officer and forward a copy to the outside examiner. The dissertation must be a complete document appropriately organized into chapters, paginated and containing a table of contents, all tables and legends, all figures and captions, a complete list of references and all appendices. **The dissertation will not be considered acceptable for defense unless the foregoing items have been included.** The student is urged to consult with the committee chair and/or the Executive Officer for guidance in the preparation of the dissertation, and to peruse previous dissertations for examples of format. An excellent guide for the preparation of the document is the manual published by the American Psychological Association (APA Style Manual), which students are encouraged to consult. For further information regarding organization and format of the dissertation, students should refer to the “Preparation of Dissertation” document available in the Registrar’s Office, and meet with the dissertation librarian.

**MAJOR EXAMINATIONS**

**The First Examination**

Each student must pass the First Examination in order to continue in the program. The examination is an early measure of the student’s potential to complete the doctoral program. Students are advised to take the examination as early as possible. Students who enter the program with 30 graduate credits of advanced standing should take the examination no later than the beginning of the second year of doctoral study. Students may not continue in the program after completing 45 credits if the First Examination has not been passed.

To complete the first examination students will be required to write a paper describing a research project they have designed. This paper, to be graded (1-5 with 5 being the best) by the advisor and two members of the doctoral faculty or relevant outside researchers, will contain a journal-quality, critical and synthesized review of the literature (7-10 pages); a journal format methods section; and an explanation of data reduction. Students will submit a draft of their paper to their advisor first. Advisors are permitted to give constructive feedback on two drafts. Following the second set of feedback from the advisor, students revise their paper and submit it to their committee (advisor and 2 readers). The two readers are chosen by the advisor. Students are not permitted to get any other feedback regarding the writing of their paper (e.g., from lab members, other faculty,
other experts in the area etc.), except help with grammar and editing mechanics (from outside consultants who are not familiar with the research topic). All three graders must deem the paper passing (minimum score of 3) for the student to pass the first examination. Students must also give an oral presentation on their paper at a Program colloquium or other scheduled time. If a student fails the Examination, all committee members must provide written feedback. Feedback may include suggestions for remediation. Recommendations may include options such as a writing course, additional readings, etc. The student has the option of re-taking the exam one final time on the same topic with the same advisor or once on a new topic with the same or a new advisor. Students are encouraged to begin laboratory work as soon as possible as involvement in a laboratory will provide the opportunity to develop the research skills needed to satisfactorily complete the first examination.

**The Second Examination (Doctoral Candidacy)**

The Second Examination is taken following the completion of all required coursework and the oral presentation of the pre-dissertation research project. Passing this examination advances the student to doctoral candidacy. The Second Examination is administered by the student’s advisory committee and is an oral examination of two hours duration based on the three areas of scholarship that have been approved by the student’s committee and reviewed by the Curriculum and Examination Committee. The Executive Officer attends and may participate in the Second Examination.

Once the areas for examination have been approved by the student’s committee, the student is required to prepare a statement of rationale that testifies to the coherent relationship among the three areas. The form “Rationale for Second Examination” is to be submitted to the Executive Officer for submission to the Curriculum and Examination Committee for review. In the event that the initial topics change, the student must submit a revised statement of rationale.

Students meet with the committee members to review the readings, may be asked to prepare one or more papers synthesizing the readings and then participate in the oral examination. The reading lists should not serve to constrain the scope of the examination areas, but should reflect the literature that defines each area of interest. There is no requirement that each topic area must be represented by a corresponding faculty member. It is assumed that the student will have a more comprehensive understanding of each examination area than is represented by the reading list.

For each topic area, the Second Examination seeks to assess the student’s (1) knowledge base of the historic and current scholarship; (2) ability to evaluate critically the relevant research literature; (3) familiarity with issues that intersect with related disciplines; and (4) understanding of the important areas for further research.

All members of the examination committee shall agree that the student has satisfied all of the foregoing criteria for passing the Second Examination. In the event of a lack of
unanimity, the student shall be considered to have failed the examination. The committee’s recommendation to the Executive Officer is reported to the Curriculum and Examination Committee, which has the final authority for the disposition of the examination. If it is recommended that the candidate retake the examination, it shall be re-scheduled no later than one year after the date of the initial attempt. In addition to retaking the examination, other possible recommendations may include (1) the preparation of a critical review of the literature in the area(s) for re-examination, (2) coursework specific to area(s) for re-examination, and (3) re-examination in one or two of the original three areas.

**The Final Examination (Dissertation Defense)**

The third, and final, major examination is an oral defense of the completed dissertation. The examination is attended by the dissertation committee, an outside reader and the Executive Officer, who may participate. The chair of the dissertation committee shall conduct the examination.

The Final Examination is scheduled following the submission of the entire completed manuscript to all members of the dissertation committee. The candidate is responsible for completing the form “Scheduling the Final Examination,” which bears the signatures of all members of the dissertation committee attesting to the manuscript’s suitability for defense. The form is submitted for approval to the Executive Officer who recommends to the Provost that the final examination be scheduled. *The final examination shall not be scheduled unless the entire dissertation committee has read the final manuscript in its entirety and has approved its suitability for defense.* The candidate must provide the names of the members of the examination committee, including the name and affiliation of the outside reader, to the Executive Officer. The availability of the Executive Officer should be considered in scheduling the examination.

An outside reader shall be invited to participate in the Final Examination. At the recommendation of the dissertation committee and with the approval of the Executive Officer, the outside reader shall be an individual who has earned recognition as a scholar and researcher in the area of the dissertation research. As a rule the outside reader shall not have participated in any stage of the dissertation process. The individual may be selected from institutions outside or from within the City University. *A copy of the complete manuscript that has previously been approved by the dissertation committee shall be forwarded to the outside reader no later than four weeks prior to the Final Examination.* The outside reader is expected to evaluate the entire document, to attend and to participate fully during the oral defense and to vote on the candidate’s performance. The format for dissertation defenses will generally follow these guidelines:

1. A brief overview presentation of the dissertation by the student.
2. A period of in-depth questioning by the committee.
3. Final deliberation by the committee following the examination.
The dissertation committee shall assess the performance of the candidate and record one of the following options on the form “Report of Final Examination.”

(1) We certify that the candidate has passed the Final Examination. We accept the dissertation as presented.
(2) We certify that the candidate has passed the Final Examination. We will consider the dissertation acceptable after minor revisions are approved by the chairman.
(3) In our judgment, the candidate’s dissertation requires major revisions. It must be resubmitted for approval by the chairman and two members of the examining committee.
(4) We certify that the candidate has failed the Final Examination, and make the following recommendations.

In the event revisions of the document are necessary, and have been completed and approved, the form “Approval of Revised Dissertation” must be submitted by the Chair to the Executive Officer. The form is then forwarded to the Provost’s Office before passage of the Final Examination can be recorded.

TEACHING EXPERIENCE

The Ph.D. program is not only an opportunity for the student to take coursework and participate in research experiences that prepare them for future careers as independent researchers. It is also an opportunity to gain first-hand experience as teachers. With this in mind, the student should plan a program that includes opportunities to develop and use these skills within the guidelines outlined below. Although students are encouraged to gain experience in teaching, they should consult with their major advisors and/or the Executive Officer to determine whether or not these appointments are appropriate relative to their time commitments in doctoral study.

Classroom Teaching

Students who have no teaching experience should plan to take primary responsibility for teaching at least one course in their areas of expertise. This experience may involve teaching at one of the local colleges as an adjunct instructor, fulfilling the requirements of a Graduate Teaching Fellow at one of the CUNY-based colleges, or as a full-time instructor. The goal of the teaching experience is two-fold: to make it possible for Ph.D. graduates to have a course outline and teaching materials for at least one course which they might expect to teach, and to enable those who will write the student’s letters of recommendation to speak knowledgeably about the student’s teaching ability. The Ph.D. student should obtain a course and instructor evaluation for every teaching experience and should place a copy of the evaluations in his/her file.
Clinical Supervision

Many beginning-level academic positions require clinical supervision duties. Frequently, teaching positions and/or Graduate Teaching Fellowships include clinical supervision responsibilities in addition to classroom teaching assignments.

SPACE ASSIGNMENTS

General Access Student Rooms

There is a common area shared with the Ph. D. Program in Linguistics and with the AuD Program that contains networked computers. Additional computers are available to students in room 7400.13.

Research Space

Research workspace is available to students, faculty, and visiting scholars. Although these spaces are under the direction of a given faculty member (see below) they are available to all faculty and students through arrangement with the faculty member responsible for that laboratory.

Audiology and Auditory Evoked Potentials Laboratory (Professor Brett Martin, Rooms 7401, 7399)

Research in this laboratory focuses on behavioral and neurophysiologic (brain) processing of auditory information, especially speech. Work in this laboratory examines: (1) the neurophysiologic processing of sound in adults and children with normal hearing or sensorineural hearing loss, (2) the effects of aural prostheses (hearing aids, cochlear implants) on the neurophysiologic processing of sound, (3) the neurophysiologic processing of sound in adverse listening conditions, (4) the development of an electrophysiological tool for the assessment of speech perception capacity, and (5) brain-behavior relationships. The above research bridges basic mechanisms and clinical application.

Cognition and Language Laboratory (Professor Klara Marton, Room 7307)

Research in the Cognition and Language Laboratory focuses on the interaction among different cognitive functions and language processes in children and adults. The main goal is to examine how various cognitive functions, such as working memory, inhibition, and attentional capacity impact language comprehension and production in different populations. We examine specific executive function processes and consider how these develop in monolingual and bilingual individuals, how they may influence or be influenced by language processing, and how they are affected in specific clinical populations, such as children with Specific Language Impairment (SLI). This research is
based on behavioral testing including online tasks and neuropsychological measures.

**Developmental Language Laboratory** (Professor Richard G. Schwartz, Rooms 7307, 7308, 7410)

The goal of this laboratory is to understand the nature and underlying causes of childhood language impairments. To this end, we study the relationship between speech perception, the processing of language, and the brain mechanisms underlying language processing in production in young children acquiring language typically and atypically.

**Developmental Neurolinguistics Laboratory** (Professor Valerie Shafer, Room 7392)

The goal of this laboratory is to understand the relationship between language and brain development, and later brain organization. Research projects are in progress that use electrophysiological methods to examine brain processes. An understanding of the relationship between language and brain development and later brain organization will help explain the nature of developmental language disorders.

**Hearing Science Laboratory** (Professor Glenis R. Long, Rooms 7402 and 7397)

Research in this laboratory attempts to improve our understanding of the role of the cochlear (inner ear). Otoacoustic emissions (sounds generated by the cochlea) are used as a noninvasive tool for investigation of cochlear mechanics. This research is combined with psychoacoustic research to better understand the perceptual consequences of cochlear nonlinearity and distortion. The laboratory is fully equipped to synthesize, record and analyze acoustic stimuli. The experimental research is conducted along with the development of computer simulations of cochlear mechanics.

**Neurolinguistics Laboratory** (Distinguished Professor Loraine Obler, Rooms 7404 and 7311)

In this laboratory, work is conducted on bilingualism and bidialectalism in aphasia, morphological disorders in agrammatic aphasia across languages, processes involved in second-language acquisition normals and people with dyslexia, and language changes associated with healthy aging and dementia (e.g., lexical retrieval and sentence processing).

**Speech Acoustics and Perception Laboratory** (Professor Douglas H. Whalen, Room 7303)

Research in this laboratory is concerned with understanding the nature of the acoustic structure of speech and how it is perceived by normal hearing adults. Many topics are covered, including the role of variability in perception, links between production and perception, the role of the lexicon in structuring perception, and the effects of a first language on perception of other languages. Techniques used include recording and
playback of natural speech, speech synthesis (acoustic and articulatory), reaction times and goodness ratings. Research often intersects with the Speech Production Laboratory or with neural imaging capabilities in other labs. Opportunities for collaborative work with Haskins Laboratories in New Haven, CT, can be arranged.

**Speech Production Laboratory** (Professor Douglas H. Whalen, Room 7304)

Research in this laboratory is concerned with understanding the nature of the way in which speech is created by the vocal track and how it is organized in terms of motor control. Many topics are covered, including the role of variability in productions, links between production and perception, differences between typical and atypical populations, and the effects of a first language on perception of other languages. Techniques used include acoustic analysis, electroglottograph (EGG), optical tracking of articulators (Optotrak), ultrasound imaging of the tongue, and combinations of these. Research often intersects with the Speech Acoustics and Perception Laboratory or with neural imaging capabilities in other labs. Opportunities for collaborative work with Haskins Laboratories in New Haven, CT, can be arranged.

**DOCTORAL FACULTY**

The full-time doctoral faculty is composed of members of the faculties of the Graduate School and University Center (see laboratory directors above) and the participating senior colleges. In addition, the adjunct doctoral faculty includes individuals at other institutions who participate in the program.

**STATEMENT OF NONDISCRIMINATION**

The Graduate School and University Center (GC) of The City University of New York is an equal opportunity and affirmative action institution. The GC does not discriminate on the basis of age, gender, sexual orientation, alienage or citizenship, race, color, national or ethnic origin, religion, marital status, veteran status, or disability in its student admissions, employment, access to programs, and administration of education policies.

The GC is committed to promoting pluralism and diversity and combating racism and bigotry.

Concerns, questions, complaints, and suggestions about affirmative action and equal employment may be addressed to any member of the GC Affirmative Action Committee through The Affirmative Action Officer.

The City University of New York prohibits sexual harassment and has instituted policies, procedures, and educational programs to prevent and address sexual harassment. For more information, please contact the coordinator of the Sexual Harassment Panel and see
the GC Student Handbook.

Employees and applicants are protected from coercion, intimidation, interference, or discrimination for filing a complaint or assisting in an investigation concerning discrimination or harassment.

**CONTACTS**

**Affirmative Action Officer:** Edith Rivera, Room 7301, 212-817-7410

**504/ADA and Title IX Coordinator:** Vice President for Student Affairs Matthew Schoengood, Room 7301, 212-817-7409

**Coordinator, Sexual Harassment Panel:** Professor Michelle Fine, Room 6304.17, 212-817-8710

**Ombuds Officer:** Prof. Martin Gitterman; call for appointment at 212-817-7191. The Ombuds Officer offers complete confidence to any individual in the GC community in discussing informal as well as formal solutions to any school-related problem.

**Executive Director for Human Resources:** Yosette Jones Johnson, Room 8403, 212-817-7700